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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/719,538	06/13/2001	Yoshihiro Hashizume	200719US3PCT	4080

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[REDACTED] EXAMINER

FORD, JOHN K

ART UNIT	PAPER NUMBER
3743	//

DATE MAILED: 03/28/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	Application No.	Applicant(s)
	09 1719, 538 Examiner FORD	Hashizume et al. Art Unit 3743

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136 (a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### **Status**

1) Responsive to communication(s) filed on 1-8-03

2a) This action is FINAL.      2b) This action is non-final.

3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### **Disposition of Claims**

4) Claim(s) 12, 13, 24-28 is/are pending in the application.

4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.

5) Claim(s) \_\_\_\_\_ is/are allowed.

6) Claim(s) 12, 13, 26-28 is/are rejected.

7) Claim(s) 24-25 is/are objected to.

8) Claims \_\_\_\_\_ are subject to restriction and/or election requirement.

#### **Application Papers**

9) The specification is objected to by the Examiner.

10) The drawing(s) filed on \_\_\_\_\_ is/are objected to by the Examiner.

11) The proposed drawing correction filed on \_\_\_\_\_ is: a) approved b) disapproved.

12) The oath or declaration is objected to by the Examiner.

#### **Priority under 35 U.S.C. § 119**

13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

a) All b) Some \* c) None of:

1. Certified copies of the priority documents have been received.
2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

14) Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e).

#### **Attachment(s)**

15) Notice of References Cited (PTO-892)

16) Notice of Draftsperson's Patent Drawing Review (PTO-948)

17) Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_\_

18) Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_

19) Notice of Informal Patent Application (PTO-152)

20) Other: \_\_\_\_\_

Art Unit: 3743

Applicant's response of January 8, 2003 has been studied carefully. Based on a less than full consideration of Noguchi (USP 4,698,980), applicant suggests the claimed subject matter is not taught by the references ~~above~~ or in combination. The Examiner disagrees.

Applicant's proposed drawing change to legend Figure 17 as "Related Art" is not approved. The specification clearly refers to it as prior art (see page 11, line 22 and page 3, lines 1-17). Please legend it as PRIOR ART as previously instructed. If counsel intends to not comply please present some factual evidence for why the specification is wrong when it admits Figure 17 to be PRIOR ART and "conventional". Argument alone will not suffice.

Applicant is required to submit a proposed drawing correction in reply to this Office action. However, formal correction of the noted defect may be deferred until after the examiner has considered the proposed drawing correction. Failure to timely submit the proposed drawing correction will result in the abandonment of the application.

Counsel also offered in his response to "shortly" submit translations of the three highly pertinent Japanese publications cited by Applicants. Several months have gone by and nothing has been received. The Examiner proceeds here without these translations.

Claims 12, 13, and 24-28 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

In amended claims 12 and 13 applicant has now interposed a "mechanism" between the plate door type air mixing damper and a rotation type lever of an actuator for driving the air mix damper. That much is fine. However the limitation that the "cam [is] provided in the air mixing damper" is no longer properly descriptive of the invention. The cam 60 is now part of the claimed "mechanism". One could change "provided in" to --connected to-- to obviate this problem.

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 12, 13 and 26-28 are rejected under 35 U.S.C. 103(a) as being unpatentable over the combined teachings of applicant's admitted prior art Figure 17 or Figure 3 of Veda (if applicant intends to withdraw the admission) and Figure 8 of Neguchi (4,698,980).

Applicant's admitted prior art is discussed on page 1, line 17 – page 4, line 12 and that explanation is incorporated here by reference. Veda (Figure 3) shows substantially the same relationship between air mix door position and blow out temperature that applicant has apparently independently discovered in Figure 17.

Figure 8 of Neguchi shows a rotary actuator shaft 6 with a rotary member 107 having a pin 109 cooperating <sup>with</sup> <sub>A</sub> cam mechanism 50 connected to an air mix door. Cam slots 117a and 117b diverge in the manner claimed in claims 12 and 13.

To have shaped the cam slots 117a and 117b of Noguchi Figure 8 as taught in col. 3, lines 32-42 of Noguchi (with respect to the species of Figures 2-6) to permit a linear output of air mix door opening cross-section as function of rotary angle ~~θ~~ of the actuator 2 to improve the accuracy of controlling the ratio of cold and hot air mixed would have been obvious to one of ordinary skill.

Claims 12, 13 and 26-28 are rejected under 35 U.S.C. 103(a) as being unpatentable over the prior art as applied to claims 12, 13 and 26-28 above, and further in view of any one of JP 6-270,644 or Stevenson or Vonhausen or JP 58-81113 or JP 61-88807.

Each of these references teaches the non-linear relationship between actuator position and temperature output and uses ~~so~~ means, mechanical or electrical, to compensate for the non-linearity to permit the actuator to deliver a linear temperature output as a function of actuator position. This is highly desirable since it increases the controllability of the system greatly. Each of these references reinforces the Examiner's argument made above that one of ordinary skill would have had all of the requisite motivation to have profiled cam slots 117a and 117b of Noguchi to produce linear output of temperature as a function of actuator position notwithstanding the inherently non-linear behavior of an air-mix door of the flap-type.

Claims 24 and 25 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

Art Unit: 3743

Claims 24 and 25 both positively claim spring 64 and the over-the-center action of spring 64, and its 112, sixth paragraph, equivalents. No spring acting in this manner is shown or suggested by the prior art. Does applicant agree or not?

**THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication should be directed to John Ford at telephone number 703-308-2636.



John K. Ford  
Primary Examiner  
Art Unit 3743

**John K. Ford**  
**Primary Examiner**